

## Appendix 6

# Real Estate Transactions with Government Entities, Government Officials, Family Members, or Close Business Associates

### I. Proposed Transaction Information

Identify whether the other party to the proposed real estate transaction is a:

1. ☐ Government Entity ☐ Government Official ☐ Family Member ☐ Close Business Associate

If the proposed real estate transaction is with a Family Member or Close Business Associate, please identify that Family Member or Close Business Associate. In addition, please identify the Government Official with whom the Family Member or Close Business Associate has a relationship, the position(s) held by that Government Official, and the dates those position(s) were held.

Name of the Family Member or the Close Business Associate: \_\_\_\_\_

Relationship to Government Official: \_\_\_\_\_

Name of the Government Official: \_\_\_\_\_

Public Position held by Government Official: \_\_\_\_\_

Period(s): \_\_\_\_\_

2. If the proposed real estate transaction is with a Government Entity or a Government Official, please provide the applicable information:

Name: \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Phone No.: \_\_\_\_\_

E-Mail: \_\_\_\_\_

3. Does the Government Entity or Government Official regulate the Company or otherwise have the ability to affect the Company's Business?

4. Property's Location / Address:

5. Is the Government Entity / Government Official / Family Member / Close Business Associate the Buyer, Seller, Lessee, or Lessor of the Property?

☐ Buyer ☐ Seller ☐ Lessee ☐ Lessor

For Government Entity, you may skip Section I Questions 6 – 11 and proceed to **Section II. Responsible Business Unit Certification**.

6. If the transaction is not with a Government Entity, was an FMV analysis conducted?

If **YES**, please submit the FMV analysis along with this form to A/C Compliance.

If **NO**, please explain why:

7. Was the FMV analysis conducted by an independent appraiser firm?  
☐ YES ☐ NO

If **YES**, provide independent appraiser firm's name and contact information:

8. Property list price (indicate currency): \_\_\_\_\_
9. FMV of property (indicate currency): \_\_\_\_\_
10. What is the proposed transaction amount (indicate currency)? \_\_\_\_\_
11. Is this Real Estate FMV found to be reasonable and objective?  
☐ YES ☐ NO

## II. Responsible Business Unit Certification

**By submitting this form and any attached documents, I certify that the information provided is true and complete.**

**I further certify that I am familiar with, and the proposed real estate transaction complies with, the Company's Global Anti-Corruption Policy, the United States Foreign Corrupt Practices Act ("FCPA"), and any other applicable anti-corruption laws. I have no knowledge or information that suggests that the real estate transaction will be used for a corrupt purpose.**

Signature: \_\_\_\_\_

Name: \_\_\_\_\_  
(printed)

Title: \_\_\_\_\_

Business Unit: \_\_\_\_\_

Date: \_\_\_\_\_  
(mm-dd-yyyy)

## III. Anti-Corruption Compliance Decision

Transaction is: ☐ Approved ☐ Denied

Signature: \_\_\_\_\_

Name: \_\_\_\_\_  
(printed)

Title: \_\_\_\_\_  
(must be Director or above)

Date: \_\_\_\_\_  
(mm-dd-yyyy)